



2164 # 11
BT
10-27-02

CF-019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip M. Ginsberg et al.
Application No. 09/853,430 Confirmation No.: 6761
Filed : May 10, 2001
For : SYSTEMS AND METHODS FOR ANONYMOUS
ELECTRONIC TRADING
Group Art Unit : 2164

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

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OCT 03 2002

GROUP 3600

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:


Transmitted herewith is a Supplemental Information
Disclosure Statement in the above-identified application.
This Statement is submitted:

- [] within three months of the application filing date;
[X] more than three months from the application
filing date but before the mailing date of the
first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason a
fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Supplemental Information Disclosure Statement to Deposit

Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Jeffrey C. Aldridge
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I Hereby Certify that this
Correspondence is being
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PATENTS P.O. BOX 2327
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Signature of Person Signing



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following reference:

FOREIGN PATENTS

0 873 549 B1

EPO

(10/28/98)

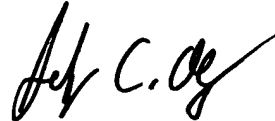
A copy of the aforementioned reference, which is
listed on the accompanying Form PTO-1449 (submitted in
duplicate), is enclosed herewith.

It is respectfully requested that this reference be:
(1) fully considered by the Patent and Trademark Office during
the examination of this application; and (2) printed on any
patent which may issue on this application. Applicants

request that a copy of the Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

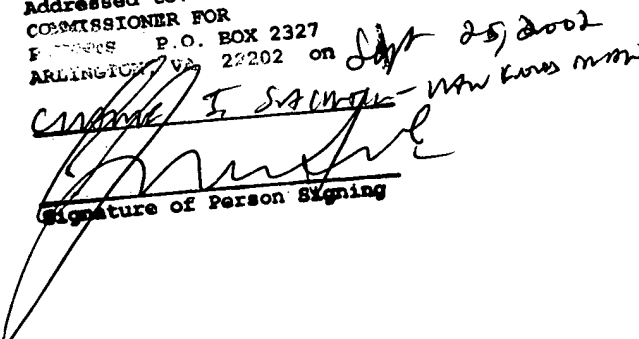
Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



Jeffrey C. Aldridge
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PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-019APPLICATION NO.
09/853,430INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANTS
Philip M. Ginsberg et al.CONFIRMATION NO.
6761FILING DATE
May 10, 2001GROUP
2164

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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OCT 03 2002

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 873 549 B1	10/28/98	EPO	G06F	17/60		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.